## Hamming codes

- One of the earliest codes studied in coding theory.
- Named after Richard W. Hamming
- The IEEE Richard W. Hamming Medal, named after him, is an award given annually by Institute of Electrical and Electronics Engineers (IEEE), for "exceptional contributions to information sciences, systems and technology".
- Sponsored by Qualcomm, Inc
- Some Recipients:
- 1988 - Richard W. Hamming
- 1997 - Thomas M. Cover
- 1999 - David A. Huffman
- 2011 - Toby Berger

- The simplest of a class of (algebraic) error correcting codes that can correct one error in a block of bits


## Hamming codes: Ex. 1

This is an example of Hamming $(7,4)$ code


In the video, the codeword is constructed from the data by

$$
\underline{\mathbf{x}}=\left[\begin{array}{lllllll}
p_{1} & d_{1} & p_{2} & d_{2} & p_{3} & d_{3} & d_{4}
\end{array}\right]
$$

where

$$
\begin{aligned}
& p_{1}=d_{1} \oplus d_{2} \oplus d_{4} \\
& p_{2}=d_{1} \bigoplus d_{3} \bigoplus d_{4} \\
& p_{3}=d_{2} \oplus d_{3} \bigoplus d_{4}
\end{aligned}
$$

- The message bits are also referred to as the data bits or information bits.
- The non-message bits are also referred to as parity check bits, checksum bits, parity bits, or check bits.

Writing the generator matrix from the code "structure"

## Generator matrix: a revisit

- Fact: The 1 s and 0 s in the $j^{\text {th }}$ column of $\mathbf{G}$ tells which positions of the data bits are combined $(\oplus)$ to produce the $j^{\text {th }}$ bit in the codeword.
- For the Hamming code in the previous slide,

$$
\underline{\mathbf{x}}=\left[\begin{array}{lllllll}
p_{1} & d_{1} & p_{2} & d_{2} & p_{3} & d_{3} & d_{4}
\end{array}\right]
$$

$$
p_{1}=d_{1} \oplus d_{2} \oplus d_{4}
$$

$$
p_{2}=d_{1} \oplus d_{3} \oplus d_{4}
$$

$$
p_{3}=d_{2} \oplus d_{3} \oplus d_{4}
$$

$$
=\left[\begin{array}{llll}
d_{1} & d_{2} & d_{3} & d_{4}
\end{array}\right]\left[\begin{array}{ll}
1 & 1 \\
1 & 0 \\
0 & 0 \\
1 & 0
\end{array}\right.
$$

$$
]
$$

## Generator matrix: a revisit

- From $\underline{\mathbf{x}}=\underline{\mathbf{b}} \mathbf{G}=\sum_{j=1}^{k} b_{j} \underline{\mathbf{g}}^{(j)}$, we see that the $j$ element of the codeword $\underline{\mathbf{x}}$ of a linear code is constructed from a linear combination of the bits in the message:

$$
x_{j}=\sum_{i=1}^{k} b_{i} g_{i j} .
$$

- The elements in the $j^{\text {th }}$ column of the generator matrix become the weights for the combination.
- Because we are working in $\mathrm{GF}(2), g_{i j}$ has only two values: 0 or 1 .
- When it is 1 , we use $b_{i}$ in the sum.
- When it is 0 , we don't use $b_{i}$ in the sum.
- Conclusion: For the $j^{\text {th }}$ column, the $i^{\text {th }}$ element is determined from whether the $i^{\text {th }}$ message bit is used in the sum that produces the $j^{\text {th }}$ element of the codeword $\mathbf{x}$.


## Codebook of a linear block code

| $\underline{\mathbf{d}}$ |  |  |  |  |  |  | $\mathbf{\underline { \mathbf { x } }}$ |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :---: |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |
| 0 | 0 | 0 | 1 | 1 | 0 | 1 | 0 | 1 | 0 | 1 |  |
| 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 1 | 1 | 0 |  |
| 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 1 |  |
| 0 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 0 |  |
| 0 | 1 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 1 |  |
| 0 | 1 | 1 | 0 | 1 | 0 | 1 | 1 | 0 | 1 | 0 |  |
| 0 | 1 | 1 | 1 | 0 | 0 | 0 | 1 | 1 | 1 | 1 |  |
| 1 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 |  |
| 1 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 1 |  |
| 1 | 0 | 1 | 0 | 1 | 1 | 0 | 0 | 1 | 1 | 0 |  |
| 1 | 0 | 1 | 1 | 0 | 1 | 1 | 0 | 0 | 1 | 1 |  |
| 1 | 1 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 |  |
| 1 | 1 | 0 | 1 | 1 | 1 | 0 | 1 | 0 | 0 | 1 |  |
| 1 | 1 | 1 | 0 | 0 | 1 | 0 | 1 | 0 | 1 | 0 |  |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |  |

- Now that we have a sufficiently-large example of a codebook, let's consider some important types of problems.
- Given a codebook, how can we check that the code is linear and generated by a generator matrix?
- Given a codebook, how can we find the corresponding generator matrix?


## Codebook of a linear block code

| $\underline{\mathbf{d}}$ |  |  |  |  |  |  | $\mathbf{\underline { \mathbf { x } }}$ |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :---: |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |
| 0 | 0 | 0 | 1 | 1 | 0 | 1 | 0 | 1 | 0 | 1 |  |
| 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 1 | 1 | 0 |  |
| 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 1 |  |
| 0 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 0 |  |
| 0 | 1 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 1 |  |
| 0 | 1 | 1 | 0 | 1 | 0 | 1 | 1 | 0 | 1 | 0 |  |
| 0 | 1 | 1 | 1 | 0 | 0 | 0 | 1 | 1 | 1 | 1 |  |
| 1 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 |  |
| 1 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 1 |  |
| 1 | 0 | 1 | 0 | 1 | 1 | 0 | 0 | 1 | 1 | 0 |  |
| 1 | 0 | 1 | 1 | 0 | 1 | 1 | 0 | 0 | 1 | 1 |  |
| 1 | 1 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 |  |
| 1 | 1 | 0 | 1 | 1 | 1 | 0 | 1 | 0 | 0 | 1 |  |
| 1 | 1 | 1 | 0 | 0 | 1 | 0 | 1 | 0 | 1 | 0 |  |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |  |

Note that

- Each bit of the codeword for linear code is either
- the same as one of the message bits
- Here, the second bit ( $x_{2}$ ) of the codeword is the same as the first bit $\left(b_{1}\right)$ of the message
- the sum of some bits from the message
- Here, the first bit $\left(x_{1}\right)$ of the codeword is the sum of the first, second and fourth bits of the message.
- So, each column in the codebook should also satisfy the above structure (relationship).


## "Reading" the structure from the codebook.

|  | d |  | $\underline{\text { x }}$ |
| :---: | :---: | :---: | :---: |
|  | $\begin{array}{llll}0 & 0 & 0 & 0\end{array}$ | $\begin{array}{lll}0 & 0 & 0\end{array}$ | $\begin{array}{llll}0 & 0 & 0 & 0\end{array}$ |
| $d_{4}$ | 0 0 00 | 1 001 | $\begin{array}{llll}0 & 1 & 0 & 1\end{array}$ |
| $d_{3}$ | 0 0 1 0 <br> 0    | $\begin{array}{llll}0 & 0 & 1\end{array}$ | $\begin{array}{llll}0 & 1 & 1 & 0\end{array}$ |
|  | $\begin{array}{lllll}0 & 0 & 1 & 1\end{array}$ | 11000 | $\begin{array}{llll}0 & 0 & 1 & 1\end{array}$ |
| $d_{2}$ | 0 1 0 0 | 1) 000 | $\begin{array}{llll}1 & 1 & 0 & 0\end{array}$ |
|  | $\begin{array}{llll}0 & 1 & 0 & 1\end{array}$ | $0 \begin{array}{lll}0 & 0 & 1\end{array}$ | $1 \begin{array}{llll}1 & 0 & 0 & 1\end{array}$ |
|  | $0 \begin{array}{llll}0 & 1 & 1 & 0\end{array}$ | $1 \begin{array}{llll}1 & 0 & 1\end{array}$ | $1 \begin{array}{llll}1 & 0 & 1 & 0\end{array}$ |
|  | $\begin{array}{llll}0 & 1 & 1 & 1\end{array}$ | 0 | $\begin{array}{llll}1 & 1 & 1 & 1\end{array}$ |
| $d_{1}$ | $\begin{array}{llll}1 & 0 & 0 & 0\end{array}$ | (1) 1 | $\begin{array}{llll}0 & 0 & 0 & 0\end{array}$ |
|  | 100 | 0 | $\begin{array}{llll}0 & 1 & 0 & 1\end{array}$ |
|  | $\begin{array}{llll}1 & 0 & 1 & 0\end{array}$ | 11 | $\begin{array}{llll}0 & 1 & 1 & 0\end{array}$ |
|  | $\begin{array}{llll}1 & 0 & 1 & 1\end{array}$ | 01 | $\begin{array}{lll}0 & 1 & 1\end{array}$ |
|  | $\begin{array}{llll}1 & 1 & 0 & 0\end{array}$ | $0 \begin{array}{lll}0 & 1 & 1\end{array}$ | $\begin{array}{llll}1 & 1 & 0 & 0\end{array}$ |
|  | $\begin{array}{llll}1 & 1 & 0 & 1\end{array}$ | $1 \begin{array}{lll}1 & 1 & \end{array}$ | $\begin{array}{llll}1 & 0 & 0 & 1\end{array}$ |
|  | $\begin{array}{llll}1 & 1 & 1 & 0\end{array}$ | 0 | $\begin{array}{llll}1 & 0 & 1 & 0\end{array}$ |
|  | $\begin{array}{llll}1 & 1 & 1 & 1\end{array}$ | $1 \begin{array}{lll}1 & 1\end{array}$ | $\begin{array}{llll}1 & 1 & 1 & 1\end{array}$ |

- One can "read" the structure (relationship) from the codebook.
- From $x_{j}=\sum_{i=1}^{k} d_{i} g_{i j}$, when we look at the message block with a single 1 at position $i$, then
- the value of $x_{j}$ in the corresponding codeword gives $g_{i j}$
$\rightarrow x_{1}=d_{1} \oplus d_{2} \oplus d_{4}$
$\rightarrow x_{3}=d_{1} \oplus d_{3} \oplus d_{4}$


## "Reading" the generator matrix from the codebook.



## Checking whether a code is generated by some generator matrix G

| $\begin{aligned} & d_{4} \\ & d_{3} \end{aligned}$ | d | $\underline{\underline{x}}$ |
| :---: | :---: | :---: |
|  | $\begin{array}{lllll}0 & 0 & 0 & 0\end{array}$ | $\begin{array}{lllllll}0 & 0 & 0 & 0 & 0 & 0 & 0\end{array}$ |
|  | $\begin{array}{lllll}0 & 0 & 0 & 1\end{array}$ | $\begin{array}{llllllll}1 & 0 & 1 & 0 & 1 & 0 & 1\end{array}$ |
|  | 0 0 0 1 0 | $\begin{array}{llllllll}0 & 0 & 1 & 0 & 1 & 1 & 0\end{array}$ |
|  | $\begin{array}{lllll}0 & 0 & 1 & 1\end{array}$ | $\begin{array}{lllllll}1 & 0 & 0 & 0 & 0 & 1 & 1\end{array}$ |
| $d_{2}$ | 0 1 0 0 <br> 0 1 0 1 | (1) 000000 |
|  | $\begin{array}{llll}0 & 1 & 0 & 1\end{array}$ | $\begin{array}{lllllll}0 & 0 & 1 & 1 & 0 & 0 & 1\end{array}$ |
|  | $\begin{array}{llll}0 & 1 & 1 & 0\end{array}$ | $\begin{array}{lllllll}1 & 0 & 1 & 1 & 0 & 1 & 0\end{array}$ |
|  | $\begin{array}{llll}0 & 1 & 1 & 1\end{array}$ | $\begin{array}{lllllll}0 & 0 & 0 & 1 & 1 & 1 & 1\end{array}$ |
| $d_{1}$ | $\begin{array}{llll}1 & 0 & 0 & 0\end{array}$ | 1-1 $11 \begin{array}{llllll} & 0 & 0 & 0 & 0\end{array}$ |
|  | $\begin{array}{llll}1 & 0 & 0 & 1\end{array}$ | $\begin{array}{lllllll}0 & 1 & 0 & 0 & 1 & 0 & 1\end{array}$ |
|  | $\begin{array}{llll}1 & 0 & 1 & 0\end{array}$ | $\begin{array}{lllllll}1 & 1 & 0 & 0 & 1 & 1 & 0\end{array}$ |
|  | $\begin{array}{llll}1 & 0 & 1 & 1\end{array}$ | $\begin{array}{lllllll}0 & 1 & 1 & 0 & 0 & 1 & 1\end{array}$ |
|  | $\begin{array}{llll}1 & 1 & 0 & 0\end{array}$ | $\begin{array}{lllllll}0 & 1 & 1 & 1 & 1 & 0 & 0\end{array}$ |
|  | $\begin{array}{llll}1 & 1 & 0 & 1\end{array}$ | $\begin{array}{lllllll}1 & 1 & 0 & 1 & 0 & 0 & 1\end{array}$ |
|  | $\begin{array}{llll}1 & 1 & 1 & 0\end{array}$ | $\begin{array}{lllllll}0 & 1 & 0 & 1 & 0 & 1 & 0\end{array}$ |
|  | $\begin{array}{llll}1 & 1 & 1 & 1\end{array}$ | $\begin{array}{lllllll}1 & 1 & 1 & 1 & 1 & 1 & 1\end{array}$ |

- If a code is generated by a generator matrix, it is automatically a linear code.
- When the codebook is provided, look at each column of the codeword part.
- Write down the equation by reading the structure from appropriate row discussed earlier.
- For example, here, we read

$$
x_{1}=d_{1} \oplus d_{2} \oplus d_{4}
$$

- Then, we add the corresponding columns of the message part and check whether the sum is the same as the corresponding codeword column.
- So, we need to check $n$ summations.
- Direct checking that we discussed earlier consider $\binom{M-1}{2}$ summations.


## Example:



- We read
$x_{1}=d_{1} \oplus d_{2} \oplus d_{4}$.
We add the message columns corresponding to $d_{1}, d_{2}, d_{4}$,
- We see that the first bit of the $13^{\text {th }}$ codeword does not conform with the structure above.
- Conclusion:This code is not generated by a generator matrix.


## Example:



- The case found in the previous slide may help with the search to show that the code is not linear.

The corresponding message is 1100.

- The codeword corresponding to this message should be $\mathbf{g}^{(1)} \oplus$ $\underline{g}^{(2)}$.
- If $\underline{\mathbf{g}}^{(1)} \oplus \underline{\mathbf{g}}^{(2)}$, is not a codeword, then we can quickly conclude that the code is not linear:
$\mathbf{g}^{(1)}$ and $\mathbf{g}^{(2)}$ are codewords but
$\underline{\mathbf{g}}^{(1)} \oplus \underline{\mathbf{g}}^{(2)}=0111100$ is not one of the codewords.


## Implementation

- Linear block codes are typically implemented with modulo-2 adders tied to the appropriate stages of a shift register.


$$
\begin{aligned}
& p_{1}=d_{1} \oplus d_{2} \oplus d_{4} \\
& p_{2}=d_{1} \bigoplus d_{3} \bigoplus d_{4} \\
& p_{3}=d_{2} \bigoplus d_{3} \bigoplus d_{4}
\end{aligned}
$$

## Back to

## Hamming codes: Ex. 1

$$
\underline{\mathbf{x}}=\left[\begin{array}{rllllll}
x_{1} & x_{2} & x_{3} & x_{4} & x_{5} & x_{6} & x_{7} \\
p_{1} & d_{1} & p_{2} & d_{2} & p_{3} & d_{3} & d_{4}
\end{array}\right]
$$

Structure in the codewords:


$$
\begin{aligned}
& p_{1}=d_{1} \oplus d_{2} \oplus d_{4} \\
& p_{2}=d_{1} \oplus d_{3} \oplus d_{4} \\
& p_{3}=d_{2} \oplus d_{3} \oplus d_{4}
\end{aligned} \longmapsto \begin{aligned}
& p_{1} \oplus d_{1} \oplus d_{2} \oplus d_{4}=0 \\
& p_{2} \oplus d_{1} \oplus d_{3} \oplus d_{4}=0 \\
& p_{3} \oplus d_{2} \oplus d_{3} \oplus d_{4}=0
\end{aligned}
$$

At the receiver, we check whether the received vector $\underline{\mathbf{y}}$ still satisfies these conditions via computing the syndrome vector:

$$
\underline{\because}=\left[\begin{array}{lllllll}
y_{1} & y_{2} & y_{3} & y_{4} & y_{5} & y_{6} & y_{7} \\
x_{1} & x_{2} & x_{3} & x_{4} & x_{5} & x_{6} & x_{7} \\
p_{1} & d_{1} & p_{2} & d_{2} & p_{3} & d_{3} & d_{4}
\end{array}\right]\left[\begin{array}{ccc}
1 & 0 & 1 \\
0 & 0 & 1 \\
0 & 1 & 1 \\
1 & 1 & 1
\end{array}\right]=\underline{\mathbf{0}} ?
$$

## Parity Check Matrix: Ex 1

- Intuitively, the parity check matrix $\mathbf{H}$, as the name suggests, tells which bits in the observed vector $\underline{\mathbf{y}}$ are used to "check" for validity of $\underline{\mathbf{y}}$.
- The number of rows is the same as the number of conditions to check (which is the same as the number of parity check bits).
- For each row, a one indicates that the bits (including the bits in the parity positions) are used in the validity check calculation.

Structure in the codeword:
$p_{1} \oplus d_{1} \oplus d_{2} \oplus d_{4}=0$
$p_{2} \oplus d_{1} \oplus d_{3} \oplus d_{4}=0$
$p_{3} \oplus d_{2} \oplus d_{3} \oplus d_{4}=0$
$\mathbf{H}=\left[\begin{array}{lllllll}y_{1} & y_{2} & y_{3} & y_{4} & y_{5} & y_{6} & y_{7} \\ x_{1} & x_{2} & x_{3} & x_{4} & x_{5} & x_{6} & x_{7} \\ p_{1} & d_{1} & p_{2} & d_{2} & p_{3} & d_{3} & d_{4} \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1\end{array}\right]$

## Parity Check Matrix: Ex 1

Relationship between $\mathbf{G}$ and $\mathbf{H}$.

$$
\mathbf{G}=\left[\begin{array}{lllllll}
x_{1} & x_{2} & x_{3} & x_{4} & x_{5} & x_{6} & x_{7} \\
p_{1} & d_{1} & p_{2} & d_{2} & p_{3} & d_{3} & d_{4} \\
1 & 1 & 1 & 0 & 0 & 0 & 0 \\
1 & 0 & 0 & 1 & 1 & 0 & 0 \\
0 & 0 & 1 & 0 & 1 & 1 & 0 \\
1 & 0 & 1 & 0 & 1 & 0 & 1
\end{array}\right] \Longleftrightarrow \mathbf{H}=\left[\begin{array}{lllllll}
y_{1} & y_{2} & y_{3} & y_{4} & y_{5} & y_{6} & y_{7} \\
x_{1} & x_{2} & x_{3} & x_{4} & x_{5} & x_{6} & x_{7} \\
p_{1} & d_{1} & p_{2} & d_{2} & p_{3} & d_{3} & d_{4} \\
1 & 1 & 0 & 1 & 0 & 0 & 1 \\
0 & 1 & 1 & 0 & 0 & 1 & 1 \\
0 & 0 & 0 & 1 & 1 & 1 & 1
\end{array}\right]
$$

## Parity Check Matrix

Key property:

$$
\mathbf{G H}^{T}=\mathbf{0}_{k \times(n-k)}
$$

Proof:

- When there is no error $(\underline{\mathbf{e}}=\underline{\mathbf{0}})$, the syndrome vector calculation should give $\underline{\mathbf{S}}=\underline{\mathbf{0}}$.
- By definition,

$$
\underline{\mathbf{s}}=\underline{\mathbf{y}} \mathbf{H}^{T}=(\underline{\mathbf{x}} \oplus \underline{\mathbf{e}}) \mathbf{H}^{T}=\underline{\mathbf{x}} \mathbf{H}^{T} \bigoplus \underline{\mathbf{e}} \mathbf{H}^{T}=\underline{\mathbf{b}} \mathbf{G} \mathbf{H}^{T} \bigoplus \underline{\mathbf{e}} \mathbf{H}^{T}
$$

- Therefore, when $\underline{\mathbf{e}}=\underline{\mathbf{0}}$, we have $\underline{\mathbf{s}}=\underline{\mathbf{b}} \mathbf{G} \mathbf{H}^{T}$.
- To have $\underline{\mathbf{s}}=\underline{\mathbf{0}}$ for any $\underline{\mathbf{b}}$, we must have $\mathbf{G H}^{T}=\mathbf{0}$.


## Systematic Encoding

- Code constructed with distinct information bits and check bits in each codeword are called systematic codes.
- Message bits are "visible" in the codeword.
- Popular forms of G:

$$
\begin{aligned}
& \mathbf{G}=\left[\begin{array}{l:l}
\mathbf{P}_{k \times(n-k)} & \mathbf{I}_{k}
\end{array}\right] \underline{\mathbf{x}}=\underline{\mathbf{b}} \mathbf{G}=\left[\begin{array}{llll}
b_{1} & b_{2} & \cdots & b_{k}
\end{array}\right]\left[\begin{array}{lll}
\mathbf{P}_{k \times(n-k)} & \mathbf{I}_{k}
\end{array}\right] \\
& =\left[\begin{array}{llll:llll}
x_{1} & x_{2} & \cdots & x_{n-k} & b_{1} & b_{2} & \cdots & b_{k}
\end{array}\right] \\
& \mathbf{G}=\left[\begin{array}{l:l}
\mathbf{I}_{k} & \mathbf{P}_{k \times(n-k)}
\end{array}\right] \underline{\mathbf{x}}=\underline{\mathbf{b}} \mathbf{G}=\left[\begin{array}{llll}
b_{1} & b_{2} & \cdots & b_{k}
\end{array}\right]\left[\begin{array}{l:l}
\mathbf{I}_{k} & \mathbf{P}_{k \times(n-k)}
\end{array}\right] \\
& =\left[\begin{array}{llll:llll}
b_{1} & b_{2} & \cdots & b_{k} & x_{k+1} & x_{k+2} & \cdots & x_{n}
\end{array}\right]
\end{aligned}
$$

## Parity check matrix

- For the generators matrices we discussed in the previous slide, the corresponding parity check matrix can be found easily:

$$
\mathbf{G}=\left[\begin{array}{l:l}
\mathbf{P}_{k \times(n-k)} & \mathbf{I}_{k}
\end{array}\right] \Longrightarrow \mathbf{H}=\left[\begin{array}{l:l}
\mathbf{I}_{n-k} & -\mathbf{P}^{T}
\end{array}\right]
$$

Check: $\mathbf{G H}^{T}=[\mathbf{P}: \mathbf{I}]\left[\begin{array}{c}\mathbf{I} \\ -\mathbf{P}\end{array}\right]=\mathbf{P} \oplus(-\mathbf{P})=\mathbf{0}_{k \times(n-k)}$

$$
\mathbf{G}=\left[\begin{array}{l:l}
\mathbf{I}_{k} & \mathbf{P}_{k \times(n-k)}
\end{array}\right] \Longrightarrow \mathbf{H}=\left[\begin{array}{l:l}
-\mathbf{P}^{T} & \mathbf{I}_{n-k}
\end{array}\right]
$$

## Hamming codes: Ex. 2

- Systematic $(7,4)$ Hamming Codes

$$
\mathbf{G}=\left[\begin{array}{lll:llll}
0 & 1 & 1 & 1 & 0 & 0 & 0 \\
1 & 0 & 1 & 0 & 1 & 0 & 0 \\
1 & 1 & 0 & 0 & 0 & 1 & 0 \\
1 & 1 & 1 & 0 & 0 & 0 & 1
\end{array}\right]
$$

$$
\mathbf{H}=\left[\begin{array}{lll:llll}
1 & 0 & 0 & 0 & 1 & 1 & 1 \\
0 & 1 & 0 & 1 & 0 & 1 & 1 \\
0 & 0 & 1 & 1 & 1 & 0 & 1
\end{array}\right]
$$

## Hamming codes

Now, we will give a general recipe for constructing Hamming codes.

Parameters:

- $m=n-k=$ number of parity bits
- $n=2^{m}-1 \in\{3,7,15,31,63,127, \ldots\}$
- $k=n-m=2^{m}-m-1$

It can be shown that, for Hamming codes,

- $d_{\text {min }}=3$.
- Error correcting capability: $t=1$


## Construction of Hamming Codes

- Start with $m$.

1. Parity check matrix $\mathbf{H}$ :

- Construct a matrix whose columns consist of all nonzero binary m-tuples.
- The ordering of the columns is arbitrary.

However, next step is easy when the columns are arranged so that $\mathbf{H}=\left[\begin{array}{l:l}\mathbf{I}_{m} & \mathbf{P}] \text {. }\end{array}\right.$
2. Generator matrix $\mathbf{G}$ :


## Hamming codes: Ex. 2

- Systematic $(7,4)$ Hamming Codes

$$
\begin{aligned}
& \mathbf{H}=\left[\begin{array}{lll:llll}
1 & 0 & 0 & 0 & 1 & 1 & 1 \\
0 & 1 & 0 & 1 & 0 & 1 & 1 \\
0 & 0 & 1 & 1 & 1 & 0 & 1
\end{array}\right] \quad \begin{array}{l}
\text { ( }
\end{array} \begin{array}{l}
\text { Columns are all possible nonzero 3- } \\
\text { bit vectors } \\
\text { We arrange the columns so that } \mathbf{I}_{3} \text { is } \\
\text { on the left to make the code } \\
\text { systematic. (One can also put } \mathbf{I}_{3} \text { on } \\
\text { the right.) }
\end{array} \\
& \left.\mathbf{G}=\left[\begin{array}{ll:llll}
0 & 1 & 1 & 1 & 0 & 0 \\
1 & 0 & 1 & 0 & 1 & 0 \\
1 & 1 & 0 & 0 & 0 & 1 \\
1 & 1 & 1 & 0 & 0 & 0 \\
1
\end{array}\right] \quad \begin{array}{l}
\text { Note that the size of the identity } \\
\text { matrices in } \mathbf{G} \text { and } \mathbf{H} \text { are not the same. }
\end{array}\right]
\end{aligned}
$$

## Minimum Distance Decoding

- At the decoder, suppose we want to use minimum distance decoding, then
- The decoder needs to have the list of all the possible codewords so that it can compare their distances to the received vector $\underline{\mathbf{y}}$.
- There are $2^{k}$ codewords each having $n$ bits. Therefore, saving these takes $2^{k} \times n$ bits.
- Also, we will need to perform the comparison $2^{k}$ times.
- Alternatively, we can utilize the syndrome vector (which is computed from the parity-check matrix).
- The syndrome vector is computed from the parity-check matrix H.
- Therefore, saving $\mathbf{H}$ takes $(n-k) \times n$ bits.


## Minimum Distance Decoding

- Recall that

$$
d(\underline{\mathbf{x}}, \underline{\mathbf{y}})=\boldsymbol{w}(\underline{\mathbf{x}} \oplus \underline{\mathbf{y}})=\boldsymbol{w}(\underline{\mathbf{e}})
$$

- Therefore, minimizing the distance is the same as minimizing the weight of the error pattern.
- New goal:
- find the decoded error pattern $\underline{\mathbf{e}}$ with the minimum weight
- then, the decoded codeword is $\underline{\hat{\mathbf{x}}}=\underline{\mathbf{y}} \bigoplus \underline{\hat{\mathbf{e}}}$
- Once we know $\underline{\hat{\mathbf{x}}}$ we can directly extract the message part from the decoded codeword if we are using systematic code.
- For example, consider

$$
\mathbf{G}=\left[\begin{array}{lll:llll}
0 & 1 & 1 & 1 & 0 & 0 & 0 \\
1 & 0 & 1 & 0 & 1 & 0 & 0 \\
1 & 1 & 0 & 0 & 0 & 1 & 0 \\
1 & 1 & 1 & 0 & 0 & 0 & 1
\end{array}\right]
$$

Suppose $\underline{\hat{\mathbf{x}}}=1011010$, then we know that the decoded message is $\underline{\hat{\mathbf{b}}}=1010$.

## Properties of Syndrome Vector

- Recall that, from $\mathbf{G} \mathbf{H}^{T}=\mathbf{0}$, we have

$$
\underline{\mathbf{s}}=\underline{\mathbf{y}} \mathbf{H}^{T}=(\underline{\mathbf{x}} \oplus \underline{\mathbf{e}}) \mathbf{H}^{T}=(\underline{\mathbf{b}} \mathbf{G} \oplus \underline{\mathbf{e}}) \mathbf{H}^{T}=\underline{\mathbf{e}} \mathbf{H}^{T}
$$

- Thinking of $\mathbf{H}$ as a matrix with many columns inside,

$$
\begin{aligned}
& \underline{\mathbf{s}}=\underline{\mathbf{e}} \mathbf{H}^{T}=\sum_{j=1}^{n} e_{j} \underline{\mathbf{v}}_{j}
\end{aligned}
$$

- Therefore, $\underline{\mathbf{s}}$ is a (linear combination of the columns of $\mathbf{H})^{\mathrm{T}}$.


## Hamming Codes: Ex. 2

$\mathbf{H}=\left[\begin{array}{lll:llll}1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 & 0 & 1\end{array}\right]$

$$
\underline{\mathbf{s}}=\underline{\mathbf{e}} \mathbf{H}^{T}=\underbrace{\sum_{j=1}^{n} e_{j} \underline{\mathbf{v}}_{j}}
$$

Linear
combination of the columns of $\mathbf{H}$

Note that for an error pattern with a single one in the $j^{\text {th }}$ coordinate position, the syndrome $\underline{\mathbf{S}}=\mathbf{y} \mathbf{H}^{T}$ is the same as the $j^{\text {th }}$ column of $\mathbf{H}$.

| Error pattern $\underline{\mathbf{e}}$ | Syndrome $=\underline{\mathbf{e}} \mathbf{H}^{T}$ |
| :---: | :---: |
| $(0,0,0,0,0,0,0)$ | $(0,0,0)$ |
| $(0,0,0,0,0,0,1)$ | $(1,1,1)$ |
| $(0,0,0,0,0,1,0)$ | $(1,1,0)$ |
| $(0,0,0,0,1,0,0)$ | $(1,0,1)$ |
| $(0,0,0,1,0,0,0)$ | $(0,1,1)$ |
| $(0,0,1,0,0,0,0)$ | $(0,0,1)$ |
| $(0,1,0,0,0,0,0)$ | $(0,1,0)$ |
| $(1,0,0,0,0,0,0)$ | $(1,0,0)$ |

## Properties of Syndrome Vector

- We will assume that the columns of $\mathbf{H}$ are nonzero and distinct.
- This is automatically satisfied for Hamming codes constructed from our recipe.
- Case 1: When $\underline{\mathbf{e}}=\underline{\mathbf{0}}$, we have $\underline{\mathbf{s}}=\underline{\mathbf{0}}$.
- When $\underline{\mathbf{s}}=\underline{\mathbf{0}}$, we can conclude that $\underline{\hat{\mathbf{e}}}=\underline{\mathbf{0}}$.
- There can also be $\underline{\mathbf{e}} \neq \underline{\mathbf{0}}$ that gives $\underline{\mathbf{s}}=\underline{\mathbf{0}}$.
- For example, any nonzero $\tilde{\mathbf{e}} \in \mathcal{C}$, will also give $\underline{\mathbf{s}}=\underline{\mathbf{0}}$.
- However, they have larger weight than $\mathbf{e}=\underline{\mathbf{0}}$.
- The decoded codeword is the same as the received vector.
- Case 2: When, $e_{i}=\left\{\begin{array}{ll}0, & i=j, \\ 1, & i \neq j,\end{array}\right.$ (a pattern with a single one in the $j^{\text {th }}$ position)
we have $\underline{\mathbf{s}}=\underline{\mathbf{v}}_{j}=\left(\text { the } j^{\text {th }} \text { column of } \mathbf{H}\right)^{\mathrm{T}}$
- When $\underline{\mathbf{s}}=\left(\text { the } j^{\text {th }} \text { column of } \mathbf{H}\right)^{\mathrm{T}}$, we can conclude that $\hat{e}_{i}= \begin{cases}0, & i=j, \\ 1, & i \neq j,\end{cases}$
- There can also be other $\underline{\mathbf{e}}$ that give $\underline{\mathbf{s}}=\underline{\mathbf{v}}_{j}$. However, their weights
- can not be 0 (because, if so, we would have $\underline{\mathbf{s}}=\underline{\mathbf{0}}$ but the columns of $\mathbf{H}$ are nonzero)
- nor 1 (because the columns of $\mathbf{H}$ are distinct).
- We flip the $j^{\text {th }}$ bit of the received vector to get the decoded codeword.


## Decoding Algorithm

- Assumption: the columns of $\mathbf{H}$ are nonzero and distinct.
- Compute the syndrome $\underline{\mathbf{s}}=\underline{\mathbf{y}} \mathbf{H}^{T}$ for the received vector.
- Case 1: If $\underline{\mathbf{s}}=\underline{\mathbf{0}}, \operatorname{set} \underline{\hat{\mathbf{x}}}=\underline{\mathbf{y}}$.
- Case 2: If $\underline{\mathbf{s}} \neq \underline{\mathbf{0}}$,
determine the position $j$ of the column of $\mathbf{H}$ that is the same as (the transposition) of the syndrome,
- $\operatorname{set} \underline{\hat{\mathbf{x}}}=\underline{\mathbf{y}}$ but with the $j^{\text {th }}$ bit complemented.
- For Hamming codes, because the columns are constructed from all possible non-zero $m$-tuples, the syndrome vectors must fall into one of the two cases considered above.
- For general linear block codes, the two cases above may not cover every cases.


## Hamming Codes: Ex. 1

- Consider the Hamming code with

$$
\mathbf{G}=\left[\begin{array}{lllllll}
1 & 1 & 1 & 0 & 0 & 0 & 0 \\
1 & 0 & 0 & 1 & 1 & 0 & 0 \\
0 & 0 & 1 & 0 & 1 & 1 & 0 \\
1 & 0 & 1 & 0 & 1 & 0 & 1
\end{array}\right] \longleftrightarrow \mathbf{H}=\left[\begin{array}{lllllll}
1 & 1 & 0 & 1 & 0 & 0 & 1 \\
0 & 1 & 1 & 0 & 0 & 1 & 1 \\
0 & 0 & 0 & 1 & 1 & 1 & 1
\end{array}\right]
$$

- Suppose we observe $\underline{\mathbf{y}}=\left[\begin{array}{lllllll}0 & 1 & 0 & 1 & 1 & 1 & 1\end{array}\right]$ at the receiver. Find the decoded codeword and the decoded message.
[To be explored in the HW]


## Hamming Codes: The original method

- Encoding
- The bit positions that are powers of $2(1,2,4,8,16$, etc.) are check bits.
- The rest ( $3,5,6,7,9$, etc.) are filled up with the $k$ data bits.
- Each check bit forces the parity of some collection of bits, including itself, to be even (or odd).
- To see which check bits the data bit in position $i$ contributes to, rewrite $i$ as a sum of powers of 2.A bit is checked by just those check bits occurring in its expansion
- Decoding
- When a codeword arrives, the receiver initializes a counter to zero. It then examines each check bit at position $i(i=1,2,4,8, \ldots)$ to see if it has the correct parity.
- If not, the receiver adds $i$ to the counter. If the counter is zero after all the check bits have been examined (i.e., if they were all correct), the codeword is accepted as valid. If the counter is nonzero, it contains the position of the incorrect bit.


## Interleaving

- Conventional error-control methods such as parity checking are designed for errors that are isolated or statistically independent events.
- Some errors occur in bursts that span several successive bits.
- Errors tend to group together in bursts. Thus, errors are no longer independent
- Examples
- impulse noise produced by lightning and switching transients
- fading or in wireless systems
- channel with memory
- Such multiple errors wreak havoc on the performance of conventional codes and must be combated by special techniques.
- One solution is to spread out the transmitted codewords.
- We consider a type of interleaving called block interleaving.


## Interleaving: Example

Consider a sequence of $m$ blocks of coded data:

$$
\begin{aligned}
& \left(x_{1}^{(1)} x_{2}^{(1)} \cdots x_{n}^{(1)}\right)\left(x_{1}^{(2)} x_{2}^{(2)} \cdots x_{n}^{(2)}\right) \cdots\left(x_{1}^{(\ell)} x_{2}^{(\ell)} \cdots x_{n}^{(\ell)}\right) \\
& \text { - Arrange these blocks as rows of a table. } \\
& x_{1}^{(1)} \quad x_{2}^{(1)} \quad \cdots \quad x_{n}^{(1)} \\
& \begin{array}{cccc}
x_{1}^{(2)} & x_{2}^{(2)} & \cdots & x_{n}^{(2)} \\
\vdots & \vdots & \ddots & \vdots \\
x_{1}^{(\ell)} & x_{2}^{(\ell)} & \cdots & x_{n}^{(\ell)}
\end{array} \\
& \square \\
& \left(x_{1}^{(1)} x_{1}^{(2)} \cdots x_{1}^{(\ell)}\right)\left(x_{2}^{(1)} x_{2}^{(2)} \cdots x_{2}^{(\ell)}\right) \cdots\left(x_{n}^{(1)} x_{n}^{(2)} \cdots x_{n}^{(\ell)}\right)
\end{aligned}
$$

The received symbols must be deinterleaved (by a deinterleaver) prior to decoding.

## Interleaving: Advantage

- Consider the case of a system that can only correct single errors.
- If an error burst happens to the original bit sequence, the system would be overwhelmed and unable to correct the problem.
original bit sequence $\left(x_{1}^{(1)} x_{2}^{(1)} \cdots x_{n}^{(1)}\right)\left(x_{1}^{(2)} x_{2}^{(2)} \cdots x_{n}^{(2)}\right) \cdots\left(x_{1}^{(\ell)} x_{2}^{(\ell)} \cdots x_{n}^{(\ell)}\right)$
interleaved transmission $\left(x_{1}^{(1)} x_{1}^{(2)} \cdots x_{1}^{(\ell)}\right)\left(x_{2}^{(1)} x_{2}^{(2)} \cdots x_{2}^{(\ell)}\right) \cdots\left(x_{n}^{(1)} x_{n}^{(2)} \cdots x_{n}^{(\ell)}\right)$
- However, in the interleaved transmission,
- successive bits which come from different original blocks have been corrupted
- when received, the bit sequence is reordered to its original form and then the FEC can correct the faulty bits
- Therefore, single error-correction system is able to fix several errors.


## Interleaving: Advantage

- If a burst of errors affects at most $\ell$ consecutive bits, then each original block will have at most one error.
- If a burst of errors affects at most $r \ell$ consecutive bits (assume $r<n$ ), then each original block will have at most $r$ errors.
- Assume that there are no other errors in the transmitted stream of $\ell_{n}$ bits.
- A single error-correcting code can be used to correct a single burst spanning upto $\ell$ symbols.
- A double error-correcting code can be used to correct a single burst spanning upto $2 \ell$ symbols.


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